



WHITE COLLAR CRIMINAL AND REGULATORY DEFENSE

Bell Nunnally's White Collar Criminal and Regulatory Defense practice offers valuable insight into the tactics and resources used by the DOJ, SEC, Texas state regulatory bodies, and others — a clear advantage when representing clients facing /investigations and prosecutions in regulatory and white-collar matters.

Whether responding to investigations initiated by the Department of Justice, the Securities and Exchange Commission, the Department of Health and Human Services, the Texas State Securities Board, and others or advising clients regarding internal investigations, we are experienced helping clients respond to and, when necessary, defend complex and high-stakes cases. Our team includes a former federal prosecutor and draws knowledge gained handling federal criminal and regulatory proceedings, administrative actions and the parallel white-collar matters that often accompany them.

We defend clients in federal and state administrative actions by securities, health care and banking regulators. We act as counsel for institutional and individual health care providers; corporations; broker-dealers; investment advisers; and officers and directors of public, private and regulated entities.

Federal criminal and enforcement proceedings

- White collar criminal defense
- Health care fraud
- Securities fraud
- Fraud, abuse and compliance
- Internal investigations

- Government contracts
- Federal and state False Claims Acts (FCA)
- Foreign Corrupt Practices Act (FCPA)
- Corporate accountability
- Grand jury investigations

Regulatory defense

As part of Bell Nunnally's regulatory defense practice, we frequently appear on behalf of clients before criminal and administrative bodies and self-regulatory organizations such as:

- Department of Justice (DOJ)
- Securities and Exchange Commission (SEC)
- Texas State Securities Board (TSSB)
- Financial Industry Regulatory Authority (FINRA)
- Federal and State Grand Juries

Internal investigations

Armed with first-hand experience working in enforcement capacities, we assist companies conducting internal investigations of potential improper criminal and civil conduct. We are available to assist from the moment a cause for concern arises and before charges are filed – responding to grand jury or regulatory subpoenas, whistle blowers and other time-sensitive matters, providing critical counsel on searches and seizures, as well as negotiating non-prosecution agreements and non-filings.

Corporate compliance

We audit everything from financial accounting controls to employee training systems, providing shareholders and insiders with invaluable peace of mind. We also routinely advise our clients regarding the steps to take, and how to take them, to protect themselves as corporate insiders and control persons.

Related Practices

Commercial Finance
Corporate and Securities
Immigration
International
Labor and Employment
Litigation
Mergers and Acquisitions
Tax

Related Industries

Broker/Dealer and Securities
Energy and Natural Resources
Financial Services
Health Care
Insurance
Manufacturing
Real Estate
Software, Technology and Telecommunications
Transportation and Logistics
Wholesale, Retail and Services

Related Attorneys

John F. Guild
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